PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL

01780/100G859-US1 Attorney Docket No. Tom Dubois First Inventor ACTIVE COUNTERFORCE HANDLE FOR USE IN BIDIRECTIONAL DEFLECTABLE TIP **INSTRUMENTS**

(Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. MS Patent Application Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: See MPEP chapter 600 concerning utility patent application contents. P.O. Box 1450 Alexandria, VA 22313-1450 Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) CD-ROM or CD-R in duplicate, large table or Х Computer Program (Appendix) Nucleotide and/or Amino Acid Sequence Submission Applicant claims small entity status. (if applicable, all necessary) See 37 CFR 1.27. [Total Pages 30 Computer Readable Form (CRF) Х Specification (preferred arrangement set forth below) Specification Sequence Listing on: Descriptive title of the invention Cross Reference to Related Applications CD-ROM or CD-R (2 copies); or Paper Statement Regarding Fed sponsored R & D Reference to sequence listing, a table Statements verifying identity of above copies or a computer program listing appendix Background of the Invention **ACCOMPANYING APPLICATION PARTS** - Brief Summary of the Invention Brief Description of the Drawings (if filed) 9. Assignment Papers (cover sheet & document(s)) Detailed Description 37 CFR 3.73(b) Statement - Claim(s) 10. - Abstract of the Disclosure (when there is an assignee) X Drawing(s) (35 U.S.C. 113) [Total Sheets 11. English Translation Document (if applicable) Copies of IDS Information Disclosure 5. Oath or Declaration [Total Sheets 3 12. Statement (IDS)/PTO-1449 Citations Newly executed (original or copy) 13. Preliminary Amendment Copy from a prior application (37 CFR 1.63(d)) Return Receipt Postcard (MPEP 503) Х 14. (for continuation/divisional with Box 18 completed) (Should be specifically itemized) Certified Copy of Priority Document(s) 15. **DELETION OF INVENTOR(S)** (if foreign priority is claimed) Signed statement attached deleting inventor(s) Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. named in the prior application, 16. see 37 CFR 1.63(d)(2) and 1.33(b). 17. Other: 6. X Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Continuation X Divisional Continuation-in-part (CIP) of prior application No.: 09/725,606 1641 Ann Y. Lam Prior application information: Examiner Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS 07278 Customer Number: Correspondence address below Х DARBY & DARBY P.C. Name Michael J. Sweedler P.O. Box 5257 Address New York NY Zip Code 10150-5257 State City (212) 527-7700 (212) 753-6237 Country US Telephone (Print/Type) Edwa Registration No. (Attorney/Agent) 40.389 d J. Ellis Name Date December 11, 2003 Signature

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	PTO/SB/17 (10-03)
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EE TDANSMITTAL	Complete if Known

FEE TRANSMITTAL			Complete if Known						
I-LL INANSIMII IAL	١	Application Number Not Yet Assigned							
for FY 2004			Filing Date (Concurrently Herewith		
		First Named Inventor			tor	Tom Dubois			
Effective 10/01/2003, Patent fees are subject to annual revision.		Examiner Name				Not Yet Assigned			
Applicant claims small entity status. See 37 CFR 1.27			Art Unit				N/A		
TOTAL AMOUNT OF PAYMENT (\$) 770.00			Attorney Docket No. 01780/100G859-US1						
METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)							
Credit Money		3. ADDITIONAL FEES							
X Check Card Order Other None	3. F	יוווטטג	UNAL	FEE2					
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Deposit Account Darby & Darby P.C.	1051	130	2051	65	Surcharge -	rge - late filing fee or oath			
Name	1052	50	2052	25		arge – late provisional filing fee or cover			
The Director is authorized to: (check all that apply)	1				sheet.				
Charge fee(s) indicated below X Credit any overpayments	1053	130	1053	130	Non-English	h specification	n		
Charge any additional fee(s) or any underpayment of fee(s)	1812	2,520	1812	2,520	_		parte reexamination		
Charge fee(s) indicated below, except for the filing fee	1804	920*	1804	920*	Examiner a	publication o ction			
to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting	publication o	f SIR after		
FEE CALCULATION	1251	110	2251	55	Examiner a Extension for	ction or reply within	n first month		
1. BASIC FILING FEE	1252	420	2252	210	Extension fo	or reply within	second month		
Large Entity Small Entity	1253	950	2253	475	Extension fo	or reply within	third month		
Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1254	1,480	2254	740	Extension for	or reply within	fourth month		
1001 770 2001 385 Utility filing fee 770.00	1255	2,010	2255	1,005	Extension fo	or reply within	i fifth month		
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Ap	opeal			
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal				
1004 770 2004 385 Reissue filing fee	1403	290	2403		•	oral hearing			
1005 160 2005 80 Provisional filing fee	1451 1452	1,510 110	1451 2452			-	lic use proceeding		
SUBTOTAL (1) (\$) 770.00	1453	1,330	2452			on to revive – unavoidable on to revive - unintentional			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501			ssue fee (or reissue)			
Extra Fee from	1502	480	2502	240	Design issu	e fee	,		
Total Claims 12 -20** = x = 0.00	1503	640	2503	320	Plant issue	fee			
Independent 2 -3** = x = 0.00	1460	130	1460	130	Petitions to	the Commiss	sioner		
Claims	1807	50	1807	50	Processing	fee under 37	CFR 1.17(q)		
Large Entity Small Entity	1806	180	1806		_		n Disclosure Stmt		
Fee Fee Fee Fee Fae Description	8021	40	8021	40		each patent a			
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1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	(37 CFR 1.	129(a))	•		
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385		dditional inver 37CFR 1.129			
1204 86 2204 43 ** Reissue independent claims	1801	770	2801	385	Request for	for Continued Examination (RCE)			
over original patent 1205 18 2205 9 ** Reissue claims in excess of 20	1802	900	1802	900	Request for of a design	expedited ex	kamination		
and over original patent	Other	Other fee (specify)							
								0.00	
**or number previously paid, if greater; For Reissues, see above									
SUBMITTED BY (Complete (if applicable))									
Name (Print/Type) Edward J Ellis Registration No. 40,389 Telephone (212) 527-770					(212) 527-7704				
Signature Date December 11, 2003							2003		
December 11, 2000									

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Express Mail Label No.	Dated:

Application No. (if known):

Attorney Docket No.: 01780/100G859-US1

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December 11, 2003 Date

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Divisional Utility Patent Application Transmittal (1 page)

Application Data Sheet (3 pages)

Fee Transmittal Form Specification (30 pages)

Figures 1, 2A-C, 3, 4A-C, 5 and 6 (4 sheets)

Oath or declaration (3 pages) Information Disclosure Statement

Copies of Information Disclosure Citations

Check in the amount of \$770.00 Certificate of Express Mail